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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

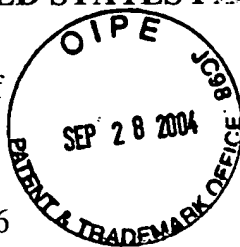
In re Patent Application of

NAGATA

Serial No. 09/729,426

Filed: December 5, 2000

For: DOCUMENT MANAGEMENT DEVICE,  
COMMUNICATIONS TERMINAL DEVICE, DOCUMENT  
MANAGEMENT PROGRAM, COMMUNICATIONS  
CONTROL PROGRAM AND DOCUMENT  
MANAGEMENT SYSTEM



Atty. Ref.: 1035-295

Group: 2622

Examiner: unknown

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

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SEP 30 2004

Technology, ...

Sir:

**INFORMATION DISCLOSURE STATEMENT**

- ☒ 1. **PTO-1449 Pursuant to 37 CFR 1.97(b)**  
[within 3 months of filing or prior to 1st Office Action] *N/C*
- ☐ 2. **Statement Pursuant to 37 CFR 1.97(c)**  
[before Final Office Action or Allowance] *N/C*
- ☐ 3. **Fee Payment Pursuant to 37 CFR 1.97(c)**  
[before Final Office Action or Allowance] *\$180.00*
- ☐ 4. **Pursuant to 37 CFR 1.97(d)**  
[before Issue Fee payment] *\$180.00*

The following are submitted in the above-identified application in compliance with 37 C.F.R. §§ 1.97 and 1.98:


- ☒ 5. A list of documents on Form PTO-1449 together with copies of each identified document and a translation or a concise explanation of each non-English language document is enclosed herewith.
  - ☒ a) The U.S. 6,118,989 reference cited in the communication from the EPO is listed as a family patent of JP 10-171839. U.S. Patent 6,118,989 does not correspond to JP 10-171839 and is not submitted. JP 3-063877 corresponds to U.S. Patent 5,084,769.

This paper is submitted in accordance with:

- ☐ 6. 37 CFR 1.97(b): [within 3 months of filing or prior to 1st Office Action]
- ☐ 7. 37 CFR 1.97(c): [before Final Office Action or Allowance, whichever is earlier]; and
- ☐ a) The required Statement made in item 9 below; or
- ☐ b) The \$180.00 fee specified in 37 CFR §1.17(p) for submission of this Information Disclosure Statement is authorized in item 10 below.
- ☐ 8. 37 CFR §1.97(d): [before issue fee payment]; and
- ☐ a) The fee (\$180.00) required by 37 CFR §1.17(p) is submitted herewith; and
- ☐ b) The required Statement is stated in item 9 below.
- ☐ 9. Statement under 37 CFR 1.97(e)
- ☐ a) The undersigned attorney of record hereby certifies under 37 C.F.R. §1.97(e) that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement (each item contained in this IDS was the first citation of that item by a foreign patent office in a counterpart foreign application which occurred no more than three months prior to the filing of this IDS); or
- ☐ b) No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement, after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.
- ☒ 10. Please charge all deficiency fees associated with the submission of this Information Disclosure Statement and any other fees applicable to this application to Deposit Account No. 14-1140. An original and one (1) copy of this document are enclosed.

Respectfully submitted,  
NIXON & VANDERHYE P.C.

September 28, 2004

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## INFORMATION DISCLOSURE CITATION

ATTY. DOCKET NO.

1035-295

APPLICANT

NAGATA

FILING DATE

December 5, 2000

SERIAL NO.

09/729,426

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**GROUP**

2622

**Technology Center 2600**

(Use several sheets if necessary)

## U.S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

[illegible]

**OTHER DOCUMENTS** (including Author, Title, Date, Pertinent pages, etc.)

		Cohen, "Access and Retrieval from Image Database Using Image Thumbnails", Proc. Int'l. Conf. Signal Processing Applications, vol. 1, 26 August 1996, pp. 427-428
		Communication from EPO dated September 6, 2004 in corresponding EP application no. 00126434.0-1527

\*Examiner

Date Considered

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to application.

Form PTO-FB-A820 (Also PTO-1449)